

Dr. Ashutosh Vashishtha**Associate Professor,**

School of Business, Faculty of Management,
Shri Mata Vaishno Devi University (SMVDU),
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Academic Qualification

- Ph.D. (Management) on the topic “Indian Financial Markets: Evolution of A Dialectic Regulatory Model” Department of Management Studies, Indian Institute of Technology Roorkee, Roorkee (Uttarakhand) in 2009.
 - MBA, Department of Management Studies (Now University School of Management), Kurukshetra University Kurukshetra. India 1998 (Division: First Class)
 - BA (Economics), Kurukshetra University Kurukshetra. India 1996
 - Qualified National Educational Test (NET) (Management) conducted by UGC in the year 2000.
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Specialization

- Financial and Risk Management, Financial Regulations and Macro Business Environment
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Administrative Experience

- Head, School of Business w.e.f. 26 October 2016 to 19 April 2017
 - Head (I/C) School of Business (29 July 2014 to 6 August 2015)
 - Chairman University Suggestion Scheme Committee and Coordinator Special Tasks w.e.f. 7 August 2015
 - Coordinator SMVDU-TBIC w.e.f. October 2014
 - As a Coordinator of SMVDU-TBIC established Shri Mata Vaishno Devi Technology Business Incubator (SMVDU-TBIC), the J&K's and Himachal Pradesh's first ever Technology Business Incubator for the total project cost of Rs.1814.00 lakhs with DST's (Department of Science and Technology, GoI) contribution of Rs. 499.30 lakhs. Got the successful approval from the DST. SMVDU-TBIC act as a catalyst to commercialise and promote the research and innovation in the engineering, business management and science domain.
 - Head, SRDD (Sponsored Research & Development Division) from 23 October 2009 to 21 December 2010
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Thesis Supervision (Ph. D.)**Degree Awarded (02)**

- Mr. Sanjay Kumar Mishra, (School of Business, Faculty of Management, Shri Mata Vaishno Devi University), "A Comprehensive Model on the Investment Behavior of Mutual Fund Investors" (Internal Supervisor) May 2011.
- Ms. Neha Seth, (Department of Management Studies, IIT Roorkee), "Weak Form Market Efficiency and Integration: Evidences from Selected Asian & US Markets". (As Co-Supervisor) Dec 2013.

Ph.D. Pursuing (03)

- i. Mr. Rajan Badyal, Ph.D. research scholar is pursuing research work on the topic entitled, "A Study of Economic and Managerial Implications of Jammu & Kashmir RTI Act" (As Supervisor)(w.e.f. January 2014.)
- ii. Mr. Sajid Rather another Ph.D. research scholar is pursuing research work on the topic entitled, "Inclusive and Sustainable Power Sector Reforms in the State of Jammu and Kashmir". (As Supervisor) December 2014.
- iii. Ms. Deepika Sharma Ph.D. research scholar is pursuing research work in his initial stage presently. (As supervisor) (w.e.f. September 2016)

Publications in Research Journals

- Zeelani, F., Mukhopadhyay, D. and Vashishtha, A. (2015) The Indian Bond Market : Performance and Prospects, International Journal of Research in Computer Applications and Management , Vol 05, Issue 08
- Zeelani, F., Mukhopadhyay, D. and Vashishtha, A. (2015) Retreat or Advance Option for India after the Global Financial Crisis, Indian Stream of Research Journal, Vol. V Issue: V, ISSN: 2230-7850 [Indexed in EBSCO, OCLC, DOAJ, EyeSource , JournalSeek, Crossref DOI, Scholarly Journals Index, Google Scholar]
- Zeelani, F., Mukhopadhyay, D. and Vashishtha, A. (2013) Globalization and the Indian Capital Market, International Journal of Management and Social Sciences Research (IJMSSR) (ISSN: 2319 - 4421) [Indexed in : Cabell's directories, DOAJ (Directory of Open Access Journals), Google Scholar, CiteSeer, Indian Citation Index, Journal Seeker Research Bible, OCLC World Catalog]
- Vashishtha, A. and Sharma, A. K. (2011) Indian Financial Market Regulation: A Dialectic Model. Journal of Economics and Business, Elsevier Publications, 64, 77-89. [Impact Factor :4.25 by IDEAS RePeC, SCImago Journal Rank (SJR): 0.795, H-Index:40] Indexed in: Scopus, Journal of Economic Literature, ABI/Inform, RePEc , Business Periodicals Index, Current Abstracts (EBSCO), Gale Academic OneFile, Gale Infotrac Custom, Personnel Management Abstracts

[DOI :10.1016/j.jeconbus.2011.05.002]

- Vashishtha, A. and Kumar, S. (2010) Development of financial derivatives market in India: A case study. *International Research Journal of Finance and Economics*, Euro Journals Inc., Issue 37, 15-37 [SJR 0.13, SNIP: 0.4 (as on August 2017 [_http://www.journalindicators.com/indicators](http://www.journalindicators.com/indicators)) Indexed in: SCOPUS, EconLit, e-JEL, JEL on CD, EBSCO, ProQuest ABI/INFORM, SSRN, Elsevier Bibliographic Databases, Ulrich, Cabell's Directory of Publishing Opportunities in Economics and Finance.
- Vashishtha, A. and Kumar, S. (2010) Determinants of Capital Structure: Empirical Evidence from BSE 500 Companies. *ELK Journal of Finance and Risk Management*, Vol.1, 49-79 (Now *ELK Asia Pacific Journal of Finance and Risk Management*) Indexed in: Google Scholar , Journals Directory, ORCID, CrossRef Metadata Search, Research Bible – Research Bib , Index Copernicus International, Cabells International.
- Vashishtha, A. and Sharma, A. K. (2007) Dynamics and Regulatory System of Indian Financial Markets: A Dialectic View. *Journal of Financial Regulation and Compliance*, Emerald Group Publishing, Volume 15, Number 3, 275-302.[IDEAS RePeC: Impact Factor =0.5, H-Index = 5] Indexed in: Emerging Sources Citation Index, Thomson Reuters, ABI inform, Business Source Premier, Cabell's Directory of Publishing, Opportunities in Economics and Finance, China Risk Net, Current Abstracts, Electronic Collections Online OCLC – Electronic Collections Online, TOC Premier, RePEc: Research Papers in Economics, ProQuest [<https://doi.org/10.1108/13581980710762282>]
- Vashishtha, A. and Sharma, A. K. (2007) Weather Derivatives: Risk Hedging Prospects for Indian Agriculture and Power Sector. *The Journal of Risk Finance*, Emerald Group Publishing, Volume 8, Number 2, 112-132.[IDEAS RePeC: Impact Factor= 0.839, H-Index = 6] Indexed in: Thomson Reuters Emerging Sources Citation Index (ESCI), Cabell's Directory of Publishing Opportunities in Economics and Finance, China Risk Net, Emerald Management Reviews, OCLC – Electronic Collections Online, RePEc. Research Papers in Economics [<https://doi.org/10.1108/15265940710732323>]
- Vashishtha, A. and Sharma, A. K. (2005) Strategic Corporate Growth through Mergers and Acquisitions. *Journal of IMS Group: Achieving Excellence in Management and IT*, Vol. 1, No. 1. 31-42 Indexed in: Cabell's Management Directory USA.
- Vashishtha, A. and Sharma, A. K. (2005) Regulatory Mechanism for Financial Markets: Emerging Trends and Managerial Implications. *DIAS Technology Review-The International journal for business and IT*, Vol. 1, No. 1. 41-50 Indexed in: Cabell's Directory, USA & Social Science Research Network (SSRN)
- Vashishtha, A. and Vashist, B. K. (2000) Agriculture futures market: An analysis of equilibrium conditions and inter market relations. *Finance India*, Vol. XXVI, No. 1, 121-146. Indexed in: *The Journal of Economic Literature*, JEL on CD, EconLit, e-

JEL, ProQuest, Cabells Directory of Publishing Opportunities in Accounting, Economics and Finance. Ulrich's Periodicals Directory™, ISID Research Reference of Institute of Studies in Industrial Development, Gale Directory of Publications & Broadcast Media, Online Public Access Catalogue of Indian Institute of Management (IIM-Ahmedabad), EDIRC.

- Vashishtha, A. and Sharma, A. K. (2005), 'Regulations of Financial Markets: Major Trends and Issues, Synthesis, Volume 2, No. 1. 1-2.
- Vashishtha, A. (1999) Role of information in economic development. Kautilya, Haryana Economic Association Journal, Vol. XX (1-2), 121.

Publications in Edited Books

- Vashishtha, A., Taher, A. and Vashist, A. (2015) Total Quality Management for higher education: a rough set approach, Complex system modeling and control through intelligent soft computations, Series Title: Studies in Fuzziness and Soft Computing, Vol.319 Springer-Verlag (SCI Indexed) Scopus Indexed Book Citation Index (SNIP:0.14 <http://www.journalindicators.com/indicators>) [DOI: https://doi.org/10.1007/978-3-319-12883-2_14]
- Vashishtha, A. and Vashist, R.(2015) An investigation into accuracy of CAMEL Model of Banking Supervision using rough sets, Computational intelligence application in modeling and control: Series Title: Studies in Computational Intelligence, Springer-Verlag Vol. 575 (SCI Indexed) Scopus Indexed Book Citation Index (SNIP: 0.38 as on <http://www.journalindicators.com/indicators>) [DOI: https://doi.org/10.1007/978-3-319-11017-2_1]
- Vashist, B. K. and Vashishtha, A. (2000) Option strategies under conditions of uncertainty. In: Shashikant, U. and Arumugam, S. (eds.) Indian Capital Markets: Trends and Dimensions. Tata McGraw Hill Publishing, Pp.120-138

Research Consultancy

Rendered consultancy support to Planning Commission, Government of India sponsored consultancy project:

- Mid Term Appraisal of 11th Plan of Haryana Monitorable Indicators
- Mid Term Appraisal of 11th Plan of Haryana Performance of Flagships Programmers
 - Part of Research team on Assessment of central Government Social welfare schemes in the state of Haryana. Sponsoring agency: Shyama Prasad Mukherjee Research Foundation New Delhi and Bharat Niti, New Delhi

Reviewer of Research Journals & other Publications

- Expert Paper Reviewer, International Journal of Management Practice, Inderscience publisher
- Expert Paper Reviewer, International Journal of Islamic and Middle Eastern Finance and Management, Emerald Publishing
- Paper Reviewer, Qualitative Research in Financial Markets (QRFM) Emerald Publishing
- Arth Anvesan with ISSN 0973-8193 of SMVD University, Katra
- Reviewed a number of papers in the International Conference on the theme 'Microfinance and Microentrepreneurship: Issues and Challenge (2013)
- Reviewed a number of papers in the International Conference on the theme 'Microfinance and Micro entrepreneurship: Issues and Challenge (2014)

Workshops/Seminars/MDP/EDP Organized

- Coordinated ICAI (The Institute of Chartered Accountant of India, New Delhi) sponsored two days' workshop on the theme "Accountancy and Commerce Education in India: Contemporary Issues and Challenges" on 10-11 October 2014 (Duration Two Days)
- Coordinated Department of Science and Technology, Government of India sponsored One day workshop on "Innovation and Entrepreneurship Development through Incubation in Jammu and Kashmir State" on 30 August 2014 (Duration one day)
- Programme Coordinator SIDBI sponsored training programme titled: Small Industries Management Programme: Management Skills Formation for Entrepreneurial Ventures" from 25 May, 2015 to 5 September, 2015. (Duration: 15 weeks appx.)
- Organized One Day Special Lecture of Shri G.R. Raghavender , Director (IPR), Department of Industrial Policy & Promotion (DIPP), Ministry of Commerce & industries, Government of India on the topic of "Indian Patents, Copyrights and trademarks Laws :Implications for business and academics" on 19 April 2015 for the University
- Organized One Day Special Lecture of Prof. Manoj Panda, Director, Institute of Economic Growth, New Delhi on the topic of "Macro Economic Developments: An Overview of Indian Economy" on 18 April 2015
- Organized Special Talk by Mr. Samirendra Chatterjee, Retd. IAS, Former Secretary to the Government of India , for SOB students on Inflation, Monetary Policy and FDI on 10 October 2014.
- Organized Special guest lecture by Prof. Kamta Prasad on "Environmental Impact Assessment in India – Experience and lessons" on 10th July, 2015 Organizes a Panel.

- Organized a one day Panel Discussion on “Indian Economy: Challenges and Opportunities” by inviting keynote speakers Prof. Ashwani Mahajan, National Co-Convener Swadeshi Jagaran Manch (SJM), Professor, Delhi University and Shri Satish, Coordinator, North and Central India, Swadeshi Jagaran Manch (SJM) on 22 September 2015.
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Keynote Addresses/ Special Lectures Delivered

- Delivered special lecture on “Present Scenario of Indian Economy” in North India Student Parliament, held on 27 March 2017 at Shri Mata Vaishno Devi University.
- Delivered special presentation on “Research for Resurgence; Rediscovering India” in national symposium titled "Road to Resurgence: Indigenous Learning for "Business and Society" on 16th -17th March 2017, at Shri Mata Vaishno Devi University.
- Delivered special lecture as resource person on the topic of “Regulatory and Compliance Issues for Banking Sector” on 21 January 2017 at the J&K Bank Sponsored Management Development Program on ‘Capacity Building for Bank Officers/Managers’ conducted by HRDC (Human Resource Development Center), SMVDU, (19-21 January, 2017)
- Delivered special lecture titled “Technical Writing: Research Proposals, Thesis Writing and Indices” on 28 September 2016 during a 3 Day workshop on Research methodologies and Data Analysis Organised by Human Resource Development Centre (HRDC) Shri Mata Vaishno Devi University (26-28 September 2016)
- Delivered special lecture in one day workshop for Non-Teaching employees on “Enhancement of Soft Skills” on the topic of “ Grievance handling and team work” held on 05 November 2016 at Shri Mata Vaishno Devi University.
- Delivered special lecture titled “Environment Impact Assessment (EIA)” on 14 December 2016 at the Short-Term Course under QIP Program on “Theory and Practices in Construction Project Management” (12-16 December 2016), IIT Jammu (Under the mentorship of IIT Delhi)
- Delivered special lecture titled “Financial Aspects of SME Units” on 17 December 2016 at DST- NIMAT (Department of Science & Technology - National Implementing and Monitoring Agency for Training, Govt of India) Sponsored National Faculty Development Program on Entrepreneurship Development organised by Entrepreneurship Development Cell, SMVDU (12-24 December 2016).
- Delivered special lecture titled “Understanding the linkage between bank’s permissible lending and capital adequacy” on 17 September 2016 during J&K Bank sponsored two day MDP “Strengthening Lending Decisions to MSMEs (17-18 September 2016)

- Acted as a resource person for a full day session on the topic of “Preparing and Authoring Research Papers for Publishing in Quality Journals” at the Department of Management Studies, Malaviya National Institute of Technology (MNIT) Jaipur on 4 March 2016. The session was a part of NITTR, Chandigarh sponsored five day (29 Feb -4 March 2016) Short Term Training Programme on the theme of Conducting and publishing the quality research held for the faculty of science, engineering and management areas.
- Delivered Special lecture as a resource person at Sher-e-Kashmir Police Academy Udhampur on “Role and Responsibility of Media in Conflict Areas” on 11 January 2016 to IPS Officers and State Police Officers of Jammu and Kashmir.
- Delivered Special lecture at Sher-e-Kashmir Police Academy Udhampur on “Disaster Management: Preparedness & Response” to the Police Officers of Jammu and Kashmir on 21 October 2015.
- Delivered Special lecture on the topic of “Intellectual Property Rights” at Government Degree College, Akhnoor (Jammu) on 29 October 2015.
- Delivered guest lecture as a resource person on “Proper Management Leads to Good Governance” at Sher-e-Kashmir Police Academy Udhampur to KAS and JKP probationers on 18th Sep, 2015.
- Delivered special lecture on the topic of “Mergers and Alliances” in SIDBI Sponsored SIMAP Program on 16 June 2015 SIDBI SPONSORED Management Skill Formation Program for Entrepreneurial Ventures (10th June 2013 to 27th September 2013) SMVDU, Katra.
- Delivered special lecture on the topic of “Capital Budgeting” in SIDBI Sponsored SIMAP Program on 16 June 2015 SIDBI SPONSORED Management Skill Formation Program for Entrepreneurial Ventures (10th June 2013 to 27th September 2013) SMVDU, Katra.
- Delivered special lecture on “Creating First Impression” in the Executive Development Program titled “Communication Skills for Effective Performance” for SMVDSB Employees from 22nd July 2015 till 26th July 2015 on 22 July 2015.
- Participated and chaired the technical session in One Day National Seminar on the theme - Strategies for Business Excellence in Global Era on Saturday, March 21, 2015 held at University School of Management, Kurukshetra University, Kurukshetra.
- Delivered special lecture on the topic of Qualitative Response Regression Models AICTE Sponsored FDP on “Applications of Econometrics and Time Series Techniques in Business” May 24, 2013 Faculty of Management, SMVDU, Katra.

- Delivered special lecture on the topic of Market Efficiency AICTE Sponsored FDP on “Applications of Econometrics and Time Series Techniques in Business” May 28, 2013 Faculty of Management, SMVDU, Katra.
 - Delivered special lecture on the topic of Financial Aspects of SME units including salient features of a project report AICTE Sponsored Entrepreneurship Development Workshop Jan17, 2013 Faculty of Management, SMVDU, Katra.
 - Delivered special lecture on the topic of Mechanism of product selection and technology-assistance from R&D Labs and others on choice of technology etc Entrepreneurship Awareness Workshop February 19, 2010 EDC Cell, Faculty of Management, SMVDU, Katra.
 - Qualitative response modeling AICTE sponsored workshop on Applied Econometrics for management research, 21 July 2009, Faculty of Management SMVDU, Katra.
 - Union Budget and Money Bill Workshop on Indian Constitution 22 Jan, 2012, Office, Dean of Students SMVDU, Katra,
 - Union Budget and Money Bill: A constitutional aspect Workshop on Indian Constitution 24 Jan, 2013 Office, Dean of Students SMVDU, Katra.
 - Delivered guest lecture to MBA students at The Department of Management Deenbandu Chotu Ram University of Science & Technology , Sonipat (Haryana) on March 31st, 2011. On the topic of “Global Financial Crises”.
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Conferences/Seminars Paper Presented

- Vashishtha, A. (2016) Foreign direct investment in India: Need for a cautious approach One day national conference on “An Assessment of 25 Years of Globalization in India” Organised by Guru Gobind Singh Indraprastha University, New Delhi, 12 September, 2016.
- Goyal, N., Kumar, S and Vashishtha, A. (2016) Changing Individual Investors Decision Making Process in Indian Stock Markets: Advisors Perspective, One day national conference on “An Assessment of 25 Years of Globalization in India” Organised by Guru Gobind Singh Indraprastha University, New Delhi, 12 September, 2016.
- Anand, S., Gupta, A., Anand, Y., Vashishtha, A., Tyagi, S.K., (2015) Exergy and Exergoeconomic Performance Evaluation of Options for NH₃-H₂O Vapor absorption System. International Workshop on Building Development Divide for Inclusive Growth through Science Technology and Innovation (BRIDGES-2015) by DST-Centre for Policy Research, Baba Saheb Bhim Rao

Ambedkar University (A Central University), Lucknow, Uttar Pradesh on 16-17 January, 2015.

- Vashishtha, A. (2014) Changing Landscape of Corporate corruption in India” One day national conference on “Self and Corruption” held in SMVDU on 22 Nov. 2014.
- Vashishtha, A. (2013) Shadow Banking: A complement or a substitute to traditional banking? Paradigm for Sustainable Business: People, Planet & Profit Department of Management Studies, IIT Roorkee, March 8-9. (Conference volume CD ISBN: 978-93-81583-94-4)
- Vashishtha, A. and Kumar, S. (2010) Determinants of Capital Structure: Empirical Evidence from BSE 500 Companies. National Research Paper Presentation Competition at Institute of Management and Research, Bharati Vidyapeeth University, New Delhi, February 20, 2010.
- Vashist, B. K. and Vashishtha, A. (2000) Price stabilizing role of agriculture futures markets under Cobweb Expectation formation behaviour. The Fourth Annual Conference Volume of India Institute of Capital Markets, Navi Mumbai, Dec20-21, 2000.
- Vashishtha, A. and Singh, R. (2007) Impact of WTO and Economic Reforms on Poverty and Unemployment in India: A Theoretical Analysis. UGC sponsored National Seminar organized by P.G. Department of Economics, S.A. Jain College, Ambala, Conference volume: March 9-10, 2007.

Workshops Attended / Participated

- Attended and participated a two week, ‘National Faculty Development Programme on Entrepreneurship’ organized by Entrepreneurship Development Institute, Ahmadabad from 12th March to 23rd March, 2012.

Membership in Professional Societies

- Member, American Economic Association
- Member, IIT Roorkee Alma Connect network, the global network of IIT Roorkee alumni
- Life Member The society for Management Education

Administrative Experience

- Member Selection Committee for the recruitment of Assistant Accounts Officer, SMVDU (2009)
- Member Selection Committee for the recruitment of Deputy Finance Officer, SMVDU (2015)
- Member Secretary Screening Committee for CAS promotion of SOB w.e.f 29 July 2014 to 6 Aug 2015 for the promotion of SOB faculties from AGP 6000 to AGP 7000
- Member Shortlisting cum Screening Committee for recruitment of Assistant Professor in School of Business (2014).
- Member Shortlisting cum Screening Committee for recruitment of Assistant Professor in School of Economics (2015).
- Member SMVDU Internal Quality Assurance Cell
- Member SMVDU Academic Council (w.e.f 29 July 2014 to 6 August 2015)
- Member SMVDU Sub Committee on Financial Affairs (Internal Quality Assurance Cell)
- Chairman University Planning Committee
- In-charge Admission Committee for MBA and Five Years Integrated MSc. Economics admission 2015-16
- Ph.D. Coordinator, SOB
- Member MBA Admission Committee from 2009 onwards
- Member NAAC Steering Committee w.e.f June 2015

International Research Collaboration, visit, seminars

- Two weeks long academic trip (16-30 November 2016) to Pontificia University Javeriana, Colombia, as an international invited faculty scholar to fulfill the academic agenda in a joint work with the faculty of Department of Accounting and Finance, and the “Thought and Praxis Research Group” Pontificia University Javeriana. The visit was sponsored by Pontificia University Javeriana’s office of Research, Development and Innovation.
- Delivered a seminar on “Derivatives, development and destruction” at Pontificia University Javeriana, Cali, Columbia, 23 November 2016.

Awards

1. Best paper award for research paper titled “Shadow Banking: A complement or a substitute to traditional banking? Paradigm for Sustainable Business: People, Planet & Profit” Department of Management Studies, IIT Roorkee, March 8-9, 2013.
2. Third Prize won in National Research Paper Presentation Competition, Held at Institute of Management and Research, Bharti Vidyapeeth University, February 20, 2010. New Delhi

3. Research Paper titled 'Development of financial derivatives market in India: A case study' included in Fellow Programme of IIM Indore for academic year 2010-11. Course Title: Theory of Financial Markets and Institutions, Term-V

Extra-Curricular Activities

- Participated in CK Nayudu Cricket Tournament, Haryana State
- Participated in Vijay Hazare Tournament, Haryana State
- Entrepreneurial Mentoring

Personal Profile

Father's Name : Dr. B.K. Vashist
Mother's Name : Dr. Kamla Vashist